APPENDIX H Consent Decree

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FORM OBD-183 MAR. 83

TABLE OF CONTENTS

. 1		TABLE OF CONTENTS	
2	Sec	etion	<u>Paqe</u>
3	I.	Jurisdiction	5
4 5	II.	Parties	6
6	III.	Binding Effect	6
7	IV.	Definitions	7
8	v.	Purpose	12
9	VI.	Notice of Obligations to Successors-in-Title	. 13
10	VII.	Work to be Performed	15
11	VIII.	Additional Work	. 24
12	IX.	Worker Health & Safety Plan	. 26
13	x.	Periodic Review to Assure Protection of Human Health and the Environment	26
14	XI.	Quality Assurance/Quality Control	26
15	XII.	Project Coordinator	29
16	XIII.	Site Access	30
17	xiv.	Assurance of Ability to Complete Work	32
18	xv.	Compliance with Applicable Laws and Regulations	33
19	XVI.	Data Exchange: Sampling and Analyses	33
20	XVII.	Retention of Records	34
21	XVIII.	Reservation of Rights	35
22	XIX,	Reimbursement of Future Response/Oversight Costs .	36
23	XX.	Reimbursement of Past Costs	40
24	XXI.	Stipulated Penalties	41
25			
26			
27			
28			

TABLE OF CONTENTS (Cont'd)

3	Section	<u>on</u>		. <u>P</u>	<u>age</u>
	xxII.	Force Majeure	•		46
4 5	xxIII.	Dispute Resolution	•		49
6	xxiv.	Form of Notice	•		52
. 7	xxv.	Modification	•	• •	53
8	xxvi.	Admissibility of Data	•	• •,	54
9	xxvii.	Contribution Protection		• ,	. 54
10	xxvIII.	Covenant Not to Sue	ė	• .	55
11	XXIX.	Waiver of Defenses	•		59
12	xxx.	Community Relations	•	• •	59
13	XXXI.	Lodging and Public Participation	•		60
14	XXXII.	Consistency with the NCP	•		60
15	XXXIII.	Indemnification and Insurance	•	.	61
16	xxxiv.	Other Claims	• (• •	63
17	xxxv.	Continuing Jurisdiction	• (, , . • •	63
18	xxxvi.	Representative Authority	• (64
19	XXXVII.	Effective Date			64
20	XXXVIII.	Severability	•		65
21	XXXIX.	Certification of Completion	•,		65
22	XL.	Termination and Satisfaction		•	.66
23	XLI.	Section Headings	• •	•	67
24	XLII.	Counterparts	•		67
25	LIST OF A	PPENDICES	• •	• ,	.71
26					
27					
28					

CONSENT DECREE

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- This Consent Decree is made and entered into by Plaintiff,
- 4 the United States of America ("United States"), on behalf of the
- 5 Administrator of the United States Environmental Protection
- 6 Agency ("EPA"), and by the following Defendants (hereinafter
- 7 referred to as "Defendants"): Pine Canyon Land Company, Santa Fe
- 8 Pacific Corporation, and Catellus Development Corporation.
- 9 Plaintiff and Defendants are hereinafter collectively referred to
- 10 as the "Parties".
- 11 A. WHEREAS, the United States has filed concurrently with
- 12 this Consent Decree a complaint in this matter pursuant to the
- 13 Comprehensive Environmental Response, Compensation, and Liability
- 14 Act, 42 U.S.C. §§ 9601 et seq., as amended by the Superfund
- 15 Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499,
- 16 100 Stat. 1613 (1986) ("CERCLA"), seeking to compel the Defen-
- 17 dants to perform remedial actions and to recover response costs
- 18 that have been and will be incurred by the United States in
- 19 response to releases and threatened releases of hazardous sub-
- 20 stances from the facility which EPA has designated as the Johns-
- 21 Manville Coalinga Mill Area Operable Unit ("Mill Area" or "Site")
- 22 located in Fresno County, California.
- B. WHEREAS, in accordance with Section 121(f)(1)(F) of
- 24 CERCLA, 42 U.S.C. \$9621(f)(1)(F), EPA notified the State of
- 25 California (the "State") on January 30, 1991 of negotiations with
- 26 potentially responsible parties regarding the scope of the

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remedial design and remedial action for the Site, and EPA has provided the State with an opportunity to participate in such negotiations and be a party to any settlement.

WHEREAS, the Site consists principally of an abandoned asbestos mill, related asbestos process waste tailings and a retention dam located on a 557 acre tract of land at an elevation between approximately 2800 and 3000 feet in upper Pine Canyon on the southern flank of the Joaquin Ridge in the Diablo Range, which is part of the Coastal Range Mountains in western Fresno County, California; and the nearest population center is the City of Coalinga (population 8250), which is located approximately 27 kilometers (17 miles) to the southeast; an asbestos milling operation was conducted at the Site from approximately 1962 to mid-1974; during this twelve year period, asbestos ore was processed and sorted and asbestos mill tailings were periodically moved into the eastern fork of Pine Canyon Creek; an estimated 340,000 cubic meters (450,000 cubic yards) of asbestos ore and asbestos tailings remain at the Site; in November 1975, the Coalinga Asbestos Company assigned its lease to Marmac Resources Company ("Marmac"), which used the Site to conduct a chromite milling operation; Marmac transported asbestos-containing chromite ore to the Site, where the chromite rich fraction was extracted; asbestos containing materials were disposed of at the Site; and Marmac conducted milling operations at the Site for

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more than a year.

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- D. WHEREAS, pursuant to Section 105(a)(8)(B) of CERCLA, 42
- 2 U.S.C. § 9605(a)(8)(B), EPA placed the Coalinga Asbestos Mine
- 3 Site on the National Priorities List ("NPL") on September 21,
- 4 1984, 49 Fed.Reg. 37070 (1984).
- 5 E. WHEREAS, on November 16, 1987, the Southern Pacific Land
- 6 Company (with respect to the Site, a predecessor in interest to
- 7 Defendant Pine Canyon Land Company) signed an Administrative Or-
- 8 der on Consent to perform a Remedial Investigation ("RI") and
- 9 Feasibility Study ("FS") for the Site.
- 10 F. WHEREAS, the SFP Railroad Company (the former name of
- 11 Pine Canyon Land Company) completed the RI Report, which EPA ap-
- 12 proved on January 17, 1990, and the FS Report, which EPA approved
- on May 3, 1990; and, on May 25, 1990, pursuant to Section 117 of
- 14 CERCLA, 42 U.S.C. § 9617, EPA issued a public notice concerning
- 15 EPA's proposed remedial action for the Site and the public com-
- 16 ment period.
- G. WHEREAS, the decision by EPA on the remedial action to
- 18 be implemented at the Site is embodied in a final Record of Deci-
- 19 sion ("ROD"), dated September 21, 1990, on which the State had a
- 20 reasonable opportunity to review and comment.
- 21 H. WHEREAS, in accordance with Section 121(d)(1) of CERCLA,
- 22 42 U.S.C. §9621(d)(1), the Parties agree that the remedy selected
- 23 by EPA in the ROD will attain a degree of cleanup at the Site,
- 24 and control the potential for releases from the Site, such that
- 25 protection of human health and the environment at the Site is as-
- 26 sured.

- 1 I. WHEREAS, the Parties recognize, and the Court by enter-
- 2 ing this Consent Decree finds, that implementation of this Con-
- 3 sent Decree will expedite the cleanup of the Site and will avoid
- 4 prolonged and complicated litigation between the Parties, and
- 5 that entry of this Consent Decree is in the public interest.
- J. WHEREAS, pursuant to Section 122 of CERCLA, 42 U.S.C.
- 7 §9622, the United States and Defendants have each stipulated and
- 8 agreed to the making and entry of this Consent Decree ("Decree"
- 9 or "Consent Decree").
- 10 K. WHEREAS, the Parties agree that settlement of this matter
- and entry of this Decree are made in good faith prior to the
- 12 taking of any testimony and in an effort to avoid further expen-
- 13 sive and protracted litigation, but without any admission as to
- 14 any legal or factual matter except for Defendants' consent to
- 15 jurisdiction for purposes of entry and enforcement of this Con-
- sent Decree as provided above, and without any admission as to
- 17 liability for any purpose.
- 18 L. WHEREAS, the Parties agree that the execution of this
- 19 Decree by Defendants does not constitute and shall not be con-
- 20 strued to constitute an admission or acknowledgment of any
- 21 liability or responsibility for the Ponding Basin at the Califor-
- 22 nia Aqueduct.
- M. WHEREAS, Defendants and EPA agree that the remedy
- 24 selected in the ROD and incorporated herein, and which Defendants
- 25 agree to implement requires remediation only of the Mill Area
- 26 Operable Unit, as defined in Section IV.K below, and does not in-

- 1 clude "The Ponding Basin at the California Aqueduct," as that
- 2 area is defined in the ROD at p.1 of the Decision Summary, or any
- 3 other area.
- N. WHEREAS, EPA has determined that entities in addition to
- 5 Defendants are potentially responsible for the releases or
- 6 threatened release of hazardous substances at the Site.
- 7 O. WHEREAS, EPA has determined that the actions required by
- 8 this Decree are necessary to protect the public health, welfare
- 9 and the environment and are consistent with Section 121 of
- 10 CERCLA, 42 U.S.C. §9621, and with the NCP, 40 C.F.R. Part 300,
- 11 that the actions required by this Consent Decree are a necessary
- 12 response and that costs incurred for such work are necessary
- 13 response costs.
- NOW THEREFORE, it is ORDERED, ADJUDGED, AND DECREED as fol-
- 15 lows:

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I. JURISDICTION

- 18 The Court has jurisdiction over the subject matter of this
- 19 action and the signatories to this Consent Decree pursuant to
- 20 Sections 106, 107, 113 and 122 of CERCLA, 42 U.S.C. §§ 9606,
- 21 9607, 9613 and 9622, and 28 U.S.C. §§ 1331, 1345. Defendants
- 22 shall not challenge the Court's jurisdiction to enter and enforce
- 23 this Consent Decree. Defendants listed in Section II (Parties)
- 24 waive service of summons and, for the purpose of this Consent
- 25 Decree, agree to submit themselves to the jurisdiction and venue
- 26 of this Court.

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II. PARIIES	II.	PAR	TIES
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The parties to this Consent Decree are the United States of America and Defendants. Defendants are:

Pine Canyon Land Company;

Santa Fe Pacific Corporation; and

Catellus Development Corporation.

The participation in this Consent Decree of Santa Fe Pacific Corporation (parent corporation of Pine Canyon Land Company, the current owner of the Site) and Catellus Development Corporation (successor to the Santa Fe Pacific Realty Corporation, the parent corporation of Southern Pacific Land Company, the immediate past owner of the Site) shall not be deemed to be evidence of, or an admission for any purpose, that either corporation possesses or exercises control over the operation of their respective subsidiaries.

III. BINDING EFFECT

This Consent Decree shall apply to and be binding upon the signatories to this Consent Decree, their officers, directors, officials, successors, and assigns and upon all persons, contrac-tors, and consultants acting under or for Defendants, or the United States or EPA. No change in ownership or corporate or partnership status will in any way alter the Defendants' respon-sibilities under this Consent Decree. The Defendants shall provide a copy of this Consent Decree, as entered, and shall

provide all relevant modifications to the Consent Decree, as ap-1 2 propriate, to each person, including all contractors and sub-3 contractors, at the time any such person is retained to perform the work contemplated by this Decree, and shall condition any 4 5 contract for the work upon compliance with this Consent Decree. Defendants agree that they are jointly and severally liable 6 7 for compliance with all provisions of this Consent Decree. 8 the event of the inability to pay or insolvency of any one or more of Defendants, regardless of whether or not that Defendant 9

or Defendants enter into formal bankruptcy proceedings, or in the event that for any other reason one or more of Defendants do not

12 participate in the implementation of the Work, the remaining

13 Defendants agree to fully comply with the terms and conditions of

14 this Consent Decree.

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IV. <u>DEFINITIONS</u>

Unless otherwise expressly provided herein or below, terms
used in this Consent Decree which are defined in CERCLA, or in
regulations promulgated under CERCLA, shall have the meaning assigned to them in the statute or regulations. Whenever terms
listed below are used in this Consent Decree or in the Exhibits
or Appendices attached hereto or incorporated hereunder, the following definitions shall apply:

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A. "Appendix A" shall mean the Record Of Decision (ROD) for the Site dated September 21, 1990.

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"Appendix B" shall mean the Scope of Work ("SOW") for 1 B. 2 the Site.

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- 3 "CERCLA" shall mean the Comprehensive Environmental C. Response, Compensation, and Liability Act, 42 U.S.C. § 4 5 9601 et seq., as amended by the Superfund Amendments 6 and Reauthorization Act of 1986, Pub. L. No. 99-499, 7 Stat. 1613 (1986).
 - "Contractor" shall mean the individual, company or com-D. panies retained by or on behalf of Defendants to undertake and complete the Work.
- "Day" shall mean a calendar day unless expressly stated 11 E. to be a working day. "Working day" shall mean a day other than a Saturday, Sunday or legal holiday. computing any period of time under this Consent Decree, where the last day would fall on a Saturday, Sunday, or legal holiday, the period shall run until the end of the next working day.
 - "Defendants" shall mean those parties identified as F. Defendants and listed as such in Section II (Parties) of this Consent Decree.
- 21 "DOHS" shall mean the California Department of Health G. 22 Services.
- "EPA" shall mean the United States Environmental 23 H. 24 Protection Agency.
- "Future Liability" shall mean liability arising after 25 I. EPA's Certificate of Completion is issued pursuant to 26 27 Section XXXIX (Certification of Completion).

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"Future Response Costs" shall mean all costs incurred by the United States pursuant to CERCLA in overseeing the Work, including but not limited to payroll costs, contractor costs, travel costs, laboratory costs, indirect costs, costs incurred pursuant to Section XIII (Site Access), and the costs of reviewing or developing plans, reports and other items pursuant to this Consent Decree, verifying the Work, or otherwise implementing or enforcing this Consent Decree, including by the United States Department of Justice. Future Response Costs shall also include costs incurred by the United States, including by the United States Department of Justice, pursuant to CERCLA in connection with the Site after November 30, 1990 (the date on which accounting for Past Response Costs ends pursuant to Section XX) and prior to the effective date of this Consent Decree.

K. "Mill Area" or the "Site" means the area defined in the ROD as the "JM Mill Area OU" of the Johns-Manville Coalinga Asbestos Mill NPL Site (Coalinga Mine Site); the Mill Area is a privately owned area, which is located in the upper Pine Canyon, approximately seventeen miles (27 kilometers) northwest of Coalinga, Fresno County, California; the Site encompasses approximately 2.3 square kilometers (557 acres) at an elevation between approximately 2,800 and 3,000 feet, as described in the ROD and depicted on the map included as Figure 1 in the ROD; the Mill Area includes asbestos mill tail-

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ings, an asbestos ore storage/loading area, an abandoned mill building, an inactive chromite mine (the
Railroad Mine), filled in chromite settling ponds and
debris.

L. "National Contingency Plan" or "NCP" shall mean the Na

- L. "National Contingency Plan" or "NCP" shall mean the National Oil and Hazardous Substances Pollution Contingency Plan, 40 C.F.R. Part 300, as revised and repromulgated pursuant to Section 105 of CERCLA, 42 U.S.C. § 9605.
- M. "Parties" means the United States, on behalf of EPA, and the Defendants.
 - N. "Past Response Costs" shall mean all costs incurred by EPA pursuant to CERCLA in connection with the Site prior to and including November 30, 1990.
 - O. "Performance Standards" shall mean those cleanup standards, standards of control, and other substantive requirements, criteria, or limitations set forth in the ROD and the SOW.
 - P. "Plaintiff" or "United States" means the United States of America, on behalf of EPA.
- Q. "Record of Decision" or "ROD" shall mean the document
 signed by the EPA Region IX Regional Administrator on
 September 21, 1990, which selects and describes the
 remedy to be implemented at the Site, and which is attached hereto as Appendix A.

- R. "Remedial Action" and "Remedial Action Work" shall mean the phases of the Work involving the construction of the remedy in accordance with the Remedial Design documents, the ROD and this Consent Decree.
 - S. "Remedial Action Reports" shall mean the reports submitted by Defendants pursuant to this Consent Decree, detailing the Remedial Action Work and the results of the Remedial Action implementation.
 - T. "Remedial Design" and "Remedial Design Work" shall mean the phases of the Work wherein engineering plans and technical specifications are developed for implementation of the Remedial Action, in accordance with the ROD and this Consent Decree.
 - U. "Remedial Design Reports" shall mean the reports submitted by Defendants pursuant to this Consent Decree, detailing the Remedial Design Work.
 - V. "Scope of Work" or "SOW" shall mean the scope of work for implementation of the Remedial Design, Remedial Action and operation and maintenance of the Remedial Action at the Site, as set forth in Appendix B to this Consent Decree.
 - W., "State" shall mean the State of California.
- X. "Work" shall mean the implementation, in accordance with Section VII hereof (Work to be Performed), of the Record of Decision and the SDW, as the SOW is defined in this Consent Decree and as it may be modified pursuant to the provisions of this Consent Decree.

"Workplan" or "Workplans" shall mean the workplan 1 Y. developed by the Defendants which details the Work to 2 3 be conducted pursuant to this Consent Decree. 4 5 V. **PURPOSE** 6 The purposes of this Consent Decree are to serve the public interest by protecting the public health, welfare, and the en-7 vironment from releases and threatened releases of hazardous sub-8 stances at or from the Site by the implementation by Defendants 9 of the Work; to obtain reimbursement from Defendants for certain 10 of Plaintiff's Past and Future Response Costs; and to settle all 11 claims against Defendants asserted by Plaintiff with regard to 12 this Site in the Complaint filed in this matter. 13 All Parties agree and the EPA and the Court hereby determine 14

that the remedy selected in the ROD is consistent with the Na-15 tional Oil and Hazardous Substances Pollution Contingency Plan, 16 40 C.F.R. Part 300 (hereinafter "National Contingency Plan" or 17 "NCP"). As is required by Section 121 of CERCLA, 42 U.S.C. 18 §9621, the Work performed in the implementation of this Remedial 19 Action shall meet the substantive standards of all legally 20 "applicable requirements" and "relevant and appropriate require-21 ments" (collectively "ARARs"), as those terms are defined in 40 22 C.F.R. § 300.5, as generally described in CERCLA Compliance with 23 Other Environmental Statutes, October 2, 1985 (50 Fed. Reg. 24 47946, November 20, 1985). EPA has identified the ARARs for the 25

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- 1 Remedial Action at the Site. Those ARARs are set forth in the
- 2 ROD, and are summarized in Section VII.A.15 of this Consent
- 3 Decree.

5 VI. NOTICE OF OBLIGATIONS TO SUCCESSORS-IN-TITLE

- A. Within sixty (30) days after the entry of this Consent
- 7 Decree, Defendants shall cause to be recorded a certified copy of
- 8 this Consent Decree with the Recorder's Office, Fresno County,
- 9 State of California.
- 10 B. The obligations of each Defendant who owns any interest
- in property included in the Site, with respect to the provision
- of access under Section XIII (Site Access) and the implementation
- of institutional controls under Section VII (Work To Be
- 14 Performed), shall, to the extent permitted by law, run with the
- 15 land and shall be binding upon any and all such Defendants and
- any and all persons who subsequently acquire any such interest or
- 17 portion thereof (hereinafter "successors-in-title"). Within
- 18 sixty (60) days after the entry of this Consent Decree, each
- 19 Defendant who owns any interest in property included in the Site
- 20 shall record at the Recorder's Office, Fresno County, State of
- 21 California, or other office where land ownership and transfer
- 22 records are maintained for the property, a notice of obligation
- 23 to provide access and related covenants in a form approved by
- 24 EPA.
- 25 C. Any Defendant that owns an interest in property included
- 26 in the Site shall, prior to the conveyance of any such interest,
- 27 give written notice of this Consent Decree to the grantee and

- written notice to EPA of the proposed conveyance, the name and
- 2 address of the grantee, and the date on which notice of the Con-
- 3 sent Decree was given to the grantee. In the event of any such
- 4 conveyance, all of Defendants' obligations under this Consent
- 5 Decree shall remain in effect.

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VII. WORK TO BE PERFORMED

8 A. General Obligations Regarding the Remedial Action

- Defendants shall finance and perform, at their expense,
- 10 the implementation of the Work as required by this Decree and the
- 11 Appendices hereto.
- Except upon agreement by all Parties, no Work or addi-
- 13 tional work pursuant to Section VIII (Additional Work) shall be
- 14 required to be performed pursuant to this Consent Decree except
- 15 those tasks necessary to fully implement the ROD, as provided
- 16 herein.
- 3. Notwithstanding any approvals which may be granted by
- 18 the United States or other governmental entities, Defendants
- 19 shall assume any and all liability of the United States arising
- 20 from or relating to Defendants' acts or omissions or the acts or
- 21 omissions of any of their contractors, subcontractors, or any
- other person acting on Defendants' behalf (except to the extent
- 23 such contractors, subcontractors or other persons are acting at
- 24 the direction of EPA pursuant to Section VII.A.16) in the perfor-
- 25 mance of the Work or Defendants failure to perform fully or com-
- 26 plete the Work.

In accordance with Section XII (Project Coordinator), 1 4. Defendants shall appoint a representative ("Project Coordinator") 2 designated by them to act on their behalf to execute the Work. 3 4 5. The Defendants shall perform the Work for the Site in ac-5 cordance with all of the provisions of this Decree, and in accor-6 dance with the ROD, attached hereto as Appendix A, the Scope of Work ("SOW") attached hereto as Appendix B, and any modifications 7 thereto which are approved by pursuant to Section XXV 8 9 (Modification) of this Decree. The ROD, the SOW, and all such modifications are hereby incorporated by reference and made a 10 11 part of this Decree. In the event of any conflict between the Decree and the SOW or any other EPA approved document incor-12 porated into this Decree, the Decree shall control. In the event 13 of any conflict between the ROD and the Decree, the Decree shall 14 control, but nothing herein shall preclude the United States from 15 moving the Court to approve a modification of the Decree in ac-16 cordance with Section XXV (Modification) to conform to the ROD. 17 6. As is further described in the ROD and the SOW, the 18 major components of the selected remedy for the Site are as fol-19 20 lows: 21 a) Constructing a cross canyon stream diversion to divert water flow away from the asbestos tailings pile 22 23 in the east fork of Pine Canyon Creek; b) Improving the existing sediment trapping dam to 24 minimize the potential for the release of asbestos into 25 26 Pine Canyon Creek; c) Constructing a fence along the road through the Site 27

and around the disturbed areas to limit access;

- d) Conducting a revegetation pilot project to determine whether revegetation is a practical means of increasing stability and minimizing erosion of the disturbed areas and implementing revegetation if it is found to be feasible;
 - e) Dismantling of the mill building and disposal of debris;
 - f) Performing operation and maintenance activities;
 - g) Road paving or an appropriate engineering alternative; and
 - h) Filing deed restrictions.

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14 All Remedial Design Work to be performed by Defendants 15 pursuant to this Consent Decree shall be under the direction and supervision of a qualified professional engineer. Within thirty 16 (30) days after the entry of this Consent Decree by the Court and 17 prior to the initiation of the Remedial Design Work for the Site, 18 the Defendants shall notify EPA, in writing, of the name, title, 19 and qualifications of the supervising engineer proposed to be 20 used in carrying out the Remedial Design Work to be performed 21 pursuant to this Consent Decree. Selection of any such engineer 22 shall be subject to disapproval by EPA. If EPA disapproves of 23 the selection of any supervising engineer, the Defendants shall 24 submit a list of engineers to EPA within thirty (30) days of 25 receiving written notice of the disapproval of the engineer pre-26 viously selected. Defendants may select from this list any one 27

of the engineers which is approved by EPA. Within twenty (20)

2 days after EPA provides approval of any engineers from Defen-

3 dants' list, Defendants shall notify EPA of the name of the en-

4 gineer which they have selected.

8. All Remedial Action Work to be performed by the Defen-

6 dants pursuant to this Consent Decree shall be under the direc-

tion and supervision of a qualified professional engineer and

8 performed by a qualified contractor. Within sixty (60) days

9 after entry of this Decree by the Court and prior to the initia-

10 tion of Remedial Action Work at the Site, the Defendants shall

11 notify EPA, in writing, of the name, title, and qualifications of

12 the supervising engineer. Within forty-five (45) days of EPA's

13 approval of the Final Design Submittals, as provided in Appendix

14 B, Defendants shall notify EPA in writing of the names of the

15 principal contractors and/or subcontractors proposed to be used

in carrying out the Remedial Action Work pursuant to this Consent

17 Decree. Selection of any such engineer and contractor and/or

18 subcontractor shall be subject to disapproval by the EPA in ac-

19 cordance with the provisions of Paragraph 7 of this Section. If

20 at any time thereafter Defendants propose to change the supervis-

21 ing engineer or principal contractor and/or subcontractors,

22 Defendants shall give written notice to EPA and shall obtain ap-

23 proval from EPA before the new supervising engineer or principal

24 contractor and/or subcontractor performs any work under this Con-

25 sent Decree. All work performed by Defendants shall be performed

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- 1 by qualified engineers and/or contractors or subcontractors in
- 2 accordance with the conditions and schedules specified in this
- 3 Decree.
- 9. The Defendants shall be liable to EPA for any acts or
- 5 omissions of any of their contractors, subcontractors or any
- 6 other person acting on their behalf (except to the extent such
- 7 contractors, subcontractors or other persons are acting at the
- 8 direction of EPA pursuant to Section VII.A.16) in the performance
- 9 of the Work or their failure to perform fully or complete the
- 10 Work, if such acts, omissions or performance constitutes a viola-
- 11 tion of this Decree.
- 12 10. Within forty-five (45) days of the effective date of
- 13 this Consent Decree, Defendants shall submit a draft Work Plan to
- 14 EPA for the Remedial Design Work at the Site ("RD Work Plan").
- 15 The draft RD Work Plan shall be developed in conformance with the
- 16 ROD, the SOW, EPA Superfund Remedial Design and Remedial Action
- 17 Guidance and any additional guidance documents provided to Defen-
- dants by EPA by the effective date of this Consent Decree.
- 19 11. The RD Work Plan submittal shall describe how the
- 20 Defendants will implement the selected remedy at the Site and
- 21 will be consistent with the SOW.
- 22 12. In accordance with Section VII.B below, the RD Work
- 23 Plan and other required documents and reports shall be subject to
- 24 review, approval and/or modification in writing by EPA. Defen-
- 25 dants shall revise disapproved documents in accordance with EPA's
- 26 comments and submit such revised documents to EPA within thirty
- 27 (30) days after receipt of the notice of disapproval. Any dis-

- 1 putes regarding any revised or modified document shall be
- 2 resolved pursuant to Section XXIII (Dispute Resolution) of this
- 3 Decree.
- 4 13. Defendants shall implement the Work detailed in the RD
- 5 Work Plan as approved or modified by EPA pursuant to Paragraph 12
- 6 above. The approved RD Work Plan shall be deemed incorporated
- 7 into and made an enforceable part of this Consent Decree. Within
- 8 thirty days of approval of the RD Work Plan by EPA, Defendants
- 9 shall file a copy of the RD Work Plan with the Court to be incor-
- 10 porated into this Consent Decree. Any noncompliance with any EPA
- 11 approved reports, plans, specifications, schedules, appendices,
- or attachments to the RD Work Plan shall be considered a failure
- 13 to comply with this Decree and shall subject Defendants to stipu-
- 14 lated penalties as provided in Section XXI (Stipulated
- 15 Penalties). In the event of any inconsistency between the SOW
- and the RD Work Plan, the SOW shall govern. All work shall be
- 17 conducted in accordance with the National Contingency Plan, the
- 18 EPA Superfund Remedial Design and Remedial Action Guidance (OSWER
- 19 Directive No. 9355.0-4A, June 1986), and the requirements of the
- 20 Consent Decree and the RD Work Plan.
- 21 14. The Parties acknowledge and agree that neither the SOW,
- 22 the RD Work Plan, nor any approvals, permits or other permissions
- 23 which may be granted by EPA related to this Consent Decree con-
- 24 stitute a warranty or representation by Plaintiff that the SOW,
- 25 the RD Work Plan or such other approvals or permits will achieve
- 26 the standards set forth in the ROD, the SOW, and in Paragraph 15
- 27 below and shall not foreclose Plaintiff from seeking performance

- of all terms and conditions of this Consent Decree. Nothing in
- 2 this Consent Decree shall be construed to relieve Defendants of
- 3 their obligation to achieve all standards set forth in the ROD,
- 4 the SOW, and in Paragraph 15 below.
- 5 15. In performing the Work at the Site, Defendants shall
- 6 meet the ARARs, which have been identified by EPA and specifi-
- 7 cally set forth in the ROD, and which are summarized below:
- a) Control asbestos emissions in accordance with the
- 9 federal Clean Air Act, National Emission Standard for Hazardous
- 10 Air Pollutants.
- b) Conform with the particulate matter standard (PM 10)
- 12 established by the Fresno County Air Pollution Control District
- 13 pursuant to the California Air Resources Act.
- c) Protect endangered species in accordance with the
- 15 federal Endangered Species Act of 1973.
- d) Minimize habitat loss in accordance with the United
- 17 States Fish and Wildlife Service Mitigation Policy.
- e) Minimize adverse impacts on waters of the United
- 19 States in accordance with the Federal Water Pollution Control
- 20 Act.
- 21 f) Comply with the substantive restrictions of Califor-
- 22 nia Health and Safety Code Sections 25232(a)(1) and (a)(2).
- g) Protect worker health and safety in accordance with
- 24 the federal Occupational Safety and Health Act.

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h) Construct engineering systems in accordance with the 1 storm run-off and peak stream flow standards of the mining waste 2 3 regulations established pursuant to the California Porter-Cologne 4 Water Quality Act. 5 In the event EPA determines that the Defendants have 6 failed to implement the Work or any portions thereof in a timely or adequate manner, EPA or its designate may perform such por-7 tions of the Work as EPA determines may be necessary. If the EPA 8 9 performs all or portions of the Work because of the Defendants' 10 failure to comply with their obligations under this Consent 11 Decree, the Defendants shall reimburse the EPA for the costs of doing such work, plus penalties as set forth in Section XXI 12 (Stipulated Penalties). EPA will provide Defendants' Project 13 Coordinator with 20 days advance written notice of EPA's intent 14 to perform a portion or all of the Work, unless EPA determines 15 that a more immediate response is needed to address a threat to 16 human health or the environment. Any disputes regarding EPA's 17 decision(s) under this Section shall be resolved pursuant to Sec-18 19 tion XXIII (Dispute Resolution) of this Decree. 20 Defendants shall dispose of any materials taken offsite in compliance with EPA's Revised Procedures for Implementing 21 Off-Site Response Actions ("Offsite Policy") (EPA OSWER Directive 22 9834.11, November 13, 1987) and any amendments thereto. 23

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1	В.	Obligations Regarding Documents to be submitted
2		("Deliverables"):

3 1. Monthly Progress Reports:

- Defendants shall prepare and submit to EPA Monthly Progress
 Reports as required by Appendix B, the SOW.
- 6 2. [Reserved]
- 7 3. Community Relations Plan. Defendants shall prepare and
- 8 submit to EPA for its approval a Community Relations Plan for
- 9 soliciting public input and informing the public of the status of
- 10 the Work. The plan shall provide for written communication with
- 11 community members ("fact sheets") and community meetings.
- 12 4. Worker Health and Safety Plan. Defendants shall
- 13 prepare and submit to EPA a Worker Health and Safety Plan as re-
- 14 quired by Appendix B.
- 5. Remedial Design Work Plan. Defendants shall prepare
- 16 and submit to EPA for its comments a Draft Remedial Design Work
- 17 Plan and to EPA for its approval a Final Remedial Design Work
- 18 Plan as required by Appendix B.
- 19 6. <u>Preliminary Design</u>. Defendants shall prepare and sub-
- 20 mit to EPA for its comments a Preliminary Design report as re-
- 21 quired by Appendix B.
- 7.. <u>Prefinal/Final Design</u>. As required by Appendix B,
- 23 Defendants shall prepare and submit to EPA for its comments
- 24 Prefinal Design Submittals and shall prepare and submit to EPA
- 25 for its approval Final Design Submittals.

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- 8. Revegetation Pilot Project Work Plan. As required by
- 2 Appendix B, Defendants shall prepare and submit to EPA for its
- 3 comments a Draft Revegetation Pilot Project Work Plan and shall
- 4 prepare and submit to EPA for its approval a Final Revegetation
- 5 Pilot Project Work Plan.
- 9. <u>Prefinal Inspection Report.</u> Defendants shall prepare
- 7 and submit to EPA a Prefinal Inspection Report as required by Ap-
- 8 pendix B.
- 9 10. Remedial Action Completion Report. Defendants shall
- 10 prepare and submit to EPA a Remedial Action Completion Report as
- 11 required by Appendix B.
- 12 11. Defendants shall, pursuant to the schedule in the SOW
- 13 attached to this Decree as Appendix B, submit each of the above
- 14 deliverables. Any failure of Defendants to submit a deliverable
- in compliance with the schedule will be deemed a violation of
- 16 this Decree.
- 17 12. After review of any plan, report, draft deliverable or
- other item which is required to be submitted to EPA for its ap-
- 19 proval pursuant to this Consent Decree or the incorporated SOW,
- 20 EPA will in writing either: (a) approve the submission; (b) dis-
- 21 approve the submission, notifying the Defendants of the
- 22 deficiencies and requiring resubmittal within thirty (30) days;
- 23 or (c) approve the submission with modifications.
- 24 13. After receipt of EPA's written decision pursuant to
- 25 Paragraph 12 above, Defendants shall, within the time period es-
- 26 tablished by this Consent Decree: (a) proceed to take action as
- 27 required by the approved or modified submission; or (b) correct

- 1 the deficiencies as determined by EPA and resubmit the plan,
- 2 report, draft or other item to EPA for approval. In the event
- 3 EPA determines that there are deficiencies in the submissions,
- 4 Defendants shall proceed, at the written direction of EPA, to
- 5 take any action required by any non-deficient portion of the sub-
- 6 mission. Any disputes regarding EPA's decision(s) under this
- 7 Section shall be resolved pursuant to Section XXIII (Dispute
- 8 Resolution) of this Decree.
- 9 14. Any failure by Defendants to revise, modify or correct
- 10 deficiencies as directed by EPA within the time allotted in the
- 11 schedule will be deemed a violation of this Consent Decree. Im-
- 12 plementation of non-deficient portions of the submission shall
- 13 not relieve Defendants of any liability for stipulated penalties
- 14 under Section XXI (Stipulated Penalties) with respect to the
- 15 deficient portions.

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VIII. ADDITIONAL WORK

- A. In the event that EPA or Defendants determine(s) that
- 19 additional work is necessary to meet the Performance Standards or
- 20 to carry out the remedy selected in the ROD, notification of such
- 21 additional work shall be provided to the Project Coordinator for
- 22 the other party(ies).
- B. Within 30 days of receipt of notice from EPA pursuant to
- 24 this Section that additional work is necessary, or such longer
- 25 time as may be specified by EPA, Defendants shall submit for ap-
- 26 proval by EPA a work plan for the additional work. The work plan

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- 1 shall conform to the requirements in and shall be approved, dis-
- 2 approved or modified in accordance with Section VII (Work To Be
- 3 Performed) and shall be consistent with the NCP.
- 4 C. Any additional work determined to be necessary by Defen-
- 5 dants is subject to approval by EPA.
- 6 D. Any additional work determined to be necessary by Defen-
- 7 dants and approved by EPA, or determined to be necessary by EPA
- 8 to carry out the remedy described in the ROD or to meet the Per-
- 9 formance Standards, shall be completed by Defendants in accor-
- 10 dance with the standards, specifications, and schedules approved
- 11 by EPA.
- 12 E. If EPA disapproves the work plan pursuant to the provi-
- 13 sions of Section VII (Work To Be Performed), Defendants, consis-
- 14 tent with Section VII (Work to Be Performed), shall submit a
- 15 modified plan. EPA may also approve the plan with modifications.
- F. Defendants shall promptly implement the work plan as ap-
- 17 proved or modified by EPA. Defendants may invoke the procedures
- 18 set forth in Section XXIII (Dispute Resolution) (i) to dispute
- 19 EPA's determination that additional work is necessary to meet the
- 20 Performance Standards or to carry out the remedy selected in the
- 21 ROD, or (ii) to resolve any other disputes that arise under this
- 22 Section.
- G. In the event that any deadline established in or pur-
- 24 suant to this Decree for performance of any activity is dependent
- on the completion of any such additional work, that deadline will
- 26 be extended by an amount of time equal to the time required to
- 27 complete that additional work.

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IX. WORKER HEALTH AND SAFETY PLAN

The Worker Health and Safety Plan that the Defendants will submit pursuant to Section VII (Work to be Performed) and Appendix B of this Consent Decree shall be prepared in conformance with applicable state and federal requirements.

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X. PERIODIC REVIEW TO ASSURE PROTECTION

OF HUMAN HEALTH AND THE ENVIRONMENT

10 Consistent with Section 121(c) of CERCLA, 42 U.S.C.

11 § 9621(c), and any applicable regulations, Defendants shall con-

12 duct within each five year period such study and investigation as

13 are requested by EPA, and as are necessary to permit EPA to per-

14 form six (6) five-year reviews to be completed within 30 years

15 after the initiation of the remedial action. Defendants shall

16 reimburse EPA for its costs in performing these reviews, and

17 shall be subject to stipulated penalties for failure to pay such

18 costs. Any dispute regarding EPA's decision(s) under this Sec-

tion shall be resolved pursuant to Section XXIII (Dispute Resolu-

20 tion) of this Decree.

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XI. QUALITY ASSURANCE/QUALITY CONTROL

A. The Quality Assurance/Quality Control (QA/QC) program
that Defendants shall submit pursuant to Section VII (Work to be
Performed) of this Consent Decree shall, where applicable, be
prepared in accordance with the following EPA guidances, <u>Interim</u>
Guidelines and specifications for Preparing Quality Assurance

- 1 Project Plans, (QAMS-005/80) December 29, 1980, Technical
- 2 <u>Guidance Document: Construction Quality Assurance for Hazardous</u>
- 3 Waste Land Disposal Facilities, (EPA/530-SW-86-031) October,
- 4 1986, and any additional EPA or EPA Region IX guidance identified
- 5 by EPA in a timely fashion. Upon approval and notice by EPA to
- 6 the Defendants, Defendants shall implement the QA/QC Program.
- B. Defendants shall use QA/QC procedures which are approved
- 8 pursuant to Paragraph A above, and shall utilize standard EPA
- 9 chain of custody procedures, as documented in the National En-
- 10 forcement Investigations Center Policies and Procedures (EPA-
- 11 330/9-78-001-R) revised May, 1986, and any amendments thereto,
- 12 and the National Enforcement Investigations Center Manual for the
- 13 Evidence Audit of Enforcement Investigations by Contractor
- 14 Evidence Audit Teams (EPA 330/9-81-003-R) April, 1984, and any
- amendments thereto, for all sample collection and analysis ac-
- tivities, unless other procedures are approved by EPA, pursuant
- 17 to this Consent Decree.
- 18 C. In order to provide quality assurance and maintain
- 19 quality control regarding all samples collected in connection
- 20 with activities performed pursuant to this Decree, the Defendants
- 21 shall:
- 22 1.. Ensure that all contracts with laboratories utilized by
- 23 Defendants for analysis of samples taken pursuant to this Consent
- 24 Decree shall provide for access of EPA personnel and EPA
- 25 authorized representatives to verify the accuracy of laboratory
- 26 results.

- Ensure that any laboratory utilized by Defendants for
 analysis of samples taken pursuant to this Consent Decree shall
- 3 perform all such analyses according to EPA methods as documented
- 4 in EPA's Contract Laboratory Statement of Work for Inorganic
- 5 Analysis, Multi-Media, Multi-Concentration, June 1989, or other
- 6 methods approved by EPA for use pursuant to this Consent Decree,
- 7 and submit all protocols to be used for analysis to EPA in the
- 8 plans and documents required under this Consent Decree.
- 9 3. Specify that all laboratories utilized by Defendants for
- 10 analysis of samples taken pursuant to this Decree shall par-
- 11 ticipate in an EPA or EPA equivalent QA/QC program. As part of
- 12 the QA/QC program and upon request by EPA, such laboratories
- shall perform at Defendants' expense analyses of samples provided
- 14 by EPA to demonstrate the quality of each laboratory's data.
- D. Sampling data generated consistent with the QA/QC
- 16 program shall be admissible as evidence, without objection except
- 17 as to relevance, in any proceeding under Section XXIII (Dispute
- 18 Resolution) of this Decree. In any such proceeding, the parties
- 19 shall be able to impeach or otherwise contest the credibility,
- 20 validity, or meaning of such evidence.
- 21 E. Notwithstanding any provision of the Consent Decree, the
- 22 United States retains all of its information gathering, inspec-
- 23 tion and enforcement authorities and rights under CERCLA, and any
- 24 other applicable statutes or regulations.

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XII. PROJECT COORDINATOR

2	A. By the effective date of this Consent Decree, EPA and
3	Defendants shall each designate a Project Coordinator, and shall
4	notify each other in writing of the name, address, and telephone
5	number of their Project Coordinators, to monitor the progress of
6	the Work, to coordinate communication between EPA and the Defen-
7	dants and to oversee the implementation of this Consent Decree.
8	EPA and Defendants each have the right to change their respective
9	Project Coordinator. Such a change shall be accomplished by
10	notifying the other party in writing at least five (5) working
11	days prior to the change. To the maximum extent possible, com-
12	munications between Defendants and EPA and all documents, includ-
13	ing reports, approvals, and other correspondence concerning the
14	activities performed pursuant to the terms and conditions of this
15	Consent Decree, shall be directed through the Project Coor-
16	dinators

- B. The EPA Project Coordinator shall have the authority
 vested in the On-Scene Coordinator by 40 C.F.R. § 300 et seq.,
 including such authority as may be added by amendments to 40
 C.F.R. § 300, as well as the authority to ensure that the Work is
 performed in accordance with all applicable statutes, regulations, and this Consent Decree.
 - C. Defendants' Project Coordinator may assign other representatives, including other contractors, to serve as a site representative for oversight of performance of daily operations

- during remedial activities, and shall provide EPA with notice in
- 2 writing of such assignments no later than the first day on which
- 3 a site representative begins acting in that capacity.
- D. Prior to invoking the procedures of Section XXIII
- 5 (Dispute Resolution), any unresolved disputes arising between the
- 6 EPA site representative and Defendants or their contractors shall
- 7 be referred to the EPA and Defendants' Project Coordinators.

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XIII. <u>SITE ACCESS</u>

- 10 A. During the effective period of this Decree, Defendants
- 11 shall provide the United States, EPA, the State, and their repre-
- 12 sentatives, including contractors, access at all times to the
- 13 Site, and any contiguous property owned or controlled by any
- 14 Defendant.
- B. To the extent that the Site where the Work is to be per-
- 16 formed is presently owned or controlled by parties other than
- 17 those bound by this Consent Decree or to the extent that access
- 18 to or easements over property is required for the proper and com-
- 19 plete performance of this Decree, Defendants shall use their best
- 20 efforts to obtain access agreements from the present owners or
- 21 those persons who have control over the property, including
- 22 lessees, within thirty (30) days of EPA's approval of the Final
- 23 Design or such longer time as is granted by EPA upon request by
- 24 Defendants. For purposes of this Section, "best efforts" in-
- 25 cludes but is not limited to, seeking judicial assistance and/or
- the payment of reasonable sums of money, based on local fair
- 27 market value for such access or use, as consideration for access

- 1 and/or use of the property. Site access agreements shall provide
- 2 access to Defendants, the United States, EPA, the parties' con-
- 3 tractors, State and local agencies, and their authorized repre-
- 4 sentatives.
- 5 C. In the event that site access agreements are not ob-
- 6 tained within the thirty (30) day period (or such period as ex-
- 7 tended by EPA) referred to in paragraph B above, the Defendants
- 8 shall notify EPA in writing within five (5) days of the expira-
- 9 tion of that period, regarding both the lack of, and efforts to
- 10 obtain, such agreements. If Defendants fail to gain access
- 11 within thirty (30) days, they shall continue to use best efforts
- 12 to obtain access until access is granted. EPA agrees to assist
- 13 Defendants in obtaining such access, to the extent that EPA
- 14 determines that such assistance is appropriate. Any delay in
- 15 performing any requirement under this Decree, arising from Defen-
- 16 dants' inability to obtain Site access, where Defendants have
- 17 made "best efforts" to obtain such access, constitutes a force
- 18 <u>majeure</u> pursuant to Section XXII (Force Majeure).
- D. Any person obtaining access to the Site pursuant to this
- 20 provision shall comply with all applicable provisions of the
- 21 Worker Health and Safety Plan as submitted pursuant to Appendix B
- 22 of this Consent Decree.
- E. Notwithstanding any provision of this Consent Decree,
- 24 the United States retains all of its access authorities and
- 25 rights under CERCLA and any other federal statute or authority.

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XIV. ASSURANCE OF ABILITY TO COMPLETE WORK

- 2 A. Defendants shall demonstrate their ability to complete
- 3 the Work and to pay all claims that arise from the performance of
- 4 the Work by obtaining, and presenting to EPA for approval within
- 5 thirty (30) calendar days after the effective date of this
- 6 Decree, one of the following mechanisms in the amount of the to-
- 7 tal estimated costs of the Work remaining to be performed:
- 8 1) A surety bond;

- 9 2) One or more letters of credit;
- 3) A trust fund;
- 11 4) A guarantee to perform the Work by one or more parent
- 12 corporations or subsidiaries, or by one or more unrelated cor-
- 13 porations that have a substantial business relationship with at
- 14 least one of the Defendants, and a demonstration that the guaran-
- tor satisfies the requirements of 40 C.F.R. Part 264.143(f); or
- 16 5) A demonstration that at least one of the Defendants
- 17 satisfies the requirements of 40 C.F.R. Part 264.143(f).
- B. If Defendants rely on the internal financial information
- of one of them or on a corporate guarantee for financial as-
- 20 surance, the Defendants shall annually resubmit sworn statements
- conveying the information required by 40 C.F.R. Part 264.143(f)
- on the anniversary date of the Consent Decree. If, at any time,
- 23 EPA determines that the Defendant(s)' or guarantor's assets are
- 24 insufficient to assure their ability to complete the Work, Defen-
- 25 dants shall obtain one of the first three financial instruments
- 26 listed above within thirty (30) calendar days of such EPA deter-
- 27 mination. Defendants' inability to demonstrate financial ability

- 1 to complete the Work shall not excuse performance of any ac-
- 2 tivities required under this Consent Decree. Any disputes
- 3 regarding EPA's decision(s) under this Section shall be resolved
- 4 pursuant to Section XXIII (Dispute Resolution) of this Decree.

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XV. COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

- 7 A. In accordance with CERCLA, as amended, the NCP and the
- 8 ROD, all actions required to be taken pursuant to this Consent
- 9 Decree shall be undertaken in accordance with the requirements of
- 10 all applicable federal, state and local laws, regulations, and
- 11 permitting requirements.
- B. Defendants shall obtain all permits or approvals neces-
- 13 sary under federal, state or local laws and shall submit timely
- 14 applications and requests for any such permits and approvals.
- 15 Notwithstanding any other provision in this Consent Decree, no
- 16 federal, state or local permits shall be required for any Work
- 17 conducted pursuant to this Consent Decree entirely onsite, as
- 18 defined by 40 C.F.R. §300.400(e).

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XVI. DATA EXCHANGE: SAMPLING AND ANALYSIS

- A. Under the provisions of Section 104(e) of CERCLA, 42
- 22 U.S.C. § 9604(e), EPA explicitly reserves the right to observe
- 23 the Work of the Defendants as it is performed. In addition, at
- 24 the request of EPA, Defendants shall allow split or replicate
- 25 samples to be taken by EPA and/or its authorized representatives,
- of any samples collected by the Defendants or anyone acting on
- 27 the Defendants' behalf pursuant to the implementation of this

- 1 Consent Decree. At least seven (7) days in advance of any sam-
- 2 pling activity, Defendants shall notify EPA of the intended date
- 3 of commencement of the sampling activity. In addition, Defen-
- 4 dants shall notify EPA at least 48 hours prior to any modifica-
- 5 tions or proposed changes to any sample collection activity.
- 6 Defendants shall notify EPA thirty (30) days prior to the dis-
- 7 posal of any such samples, and shall provide EPA with an oppor-
- 8 tunity to take possession of all or a portion of such samples.
- 9 B. Defendants shall notify EPA in a timely manner of any
- 10 project which is required to be performed pursuant to this Con-
- 11 sent Decree and which is likely to produce data or information as
- 12 described in this Section.
- C. Not withstanding any provisions of this Consent Decree,
- 14 the United States hereby retains all of its information gathering
- 15 and inspection authorities and rights, including enforcement ac-
- 16 tions related thereto, under CERCLA, and any other applicable
- 17 statutes.
- D. Within 60 days of the effective date of this Consent
- 19 Decree, Defendants shall propose to EPA a plan and system to
- 20 manage and organize data collected pursuant to this Decree. Upon
- 21 approval by EPA, Defendants shall implement the data management
- 22 plan and system.

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XVII. <u>RETENTION OF RECORDS</u>

- 25 A. Defendants shall preserve and retain all records and
- 26 documents in Defendants' possession at the time of entry of this
- 27 Consent Decree which EPA is authorized to obtain under Section

- 1 104(e) of CERCLA, 42 U.S.C. § 9604(e), with respect to the Site,
- 2 regardless of any document retention policy to the contrary, for
- 3 ten (10) years after the entry of this Consent Decree.
- B. The Defendants shall preserve, and shall instruct all
- 5 contractors, all contractors' subcontractors, and anyone else ac-
- 6 ting on the Defendants' behalf at the Site to preserve (in the
- 7 form of originals or exact copies, or in the alternative,
- 8 microfiche of all originals) all records, documents and informa-
- 9 tion of whatever kind, nature, or description required to be gen-
- 10 erated pursuant to this Consent Decree. During the 10 year
- 11 period following completion of the Work, or earlier if requested
- or agreed to by EPA, originals or copies of all such records,
- 13 documents, and information shall be delivered to EPA's Project
- 14 Coordinator or designee, at which point Defendants' record
- 15 preservation and retention obligations under this Consent Decree
- 16 shall end.
- 17 C. After the 10 year period set out in Paragraph B above,
- or earlier if agreed to by EPA, Defendants shall notify EPA no
- 19 later than sixty (60) days prior to the destruction of such docu-
- 20 ments. Upon request by EPA, the Defendants proposing to destroy
- 21 records shall make available to EPA originals or copies of any
- 22 such records prior to their destruction.

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XVIII. RESERVATION OF RIGHTS

- A. Notwithstanding any other provision in this Decree, Sec-
- 26 tion XXVIII (Covenant Not to Sue) shall not relieve any Defendant
- 27 of its obligation to meet and maintain compliance with the re-

- 1 quirements set forth in this Decree. Except as provided in Sec-
- 2 tion XXVIII (Covenant Not To Sue), the United States reserves all
- 3 rights to take enforcement actions for violations of this Decree,
- 4 of CERCLA and/or of any other authority, including the right to
- 5 seek response costs, injunctive relief, monetary penalties, and
- 6 punitive damages for any civil or criminal violation of law or
- 7 this Consent Decree.
- B. Except as provided in Section XXVIII (Covenant Not To
- 9 Sue), nothing in this Consent Decree shall be deemed to limit the
- 10 response authority of EPA under Sections 104 or 106 of CERCLA, 42
- 11 U.S.C. § 9604 or 9606, or under any other federal response
- 12 authority. In any event, the United States reserves the right to
- 13 seek reimbursement from the Defendants for any such response
- 14 costs incurred by the United States.
- 15 C. The United States expressly reserves all rights and
- defenses that it may have, including the right both to disapprove
- of Work performed by Defendants on the grounds that it does not
- 18 comply with this Consent Decree, and to request, pursuant to Sec-
- 19 tion VIII (Additional Work), that Defendants perform tasks in ad-
- 20 dition to those detailed in the Work Plans prepared pursuant to
- 21 this Consent Decree, provided that any such additional work is
- 22 necessary to implement the remedy specified in the ROD.

- 24 XIX. REIMBURSEMENT OF FUTURE RESPONSE AND OVERSIGHT COSTS
- 25 A. Defendants shall reimburse the Hazardous Substance Su-
- 26 perfund for the Future Response Costs (including indirect costs)
- 27 incurred by EPA for any activities required to be performed by

Section VII (Work to be Performed), Section VIII (Additional 1 Work) and Section X (Periodic Review to Assure Protection of 2 3 Human Health and the Environment) which are performed by EPA, and 4 for Future Response Costs, including indirect costs, incurred by EPA to oversee and review the Work performed by or on behalf of 5 Defendants, and any other response costs incurred by or on behalf 6 of EPA under or in connection with this Consent Decree, provided 7 that such response costs are not inconsistent with the NCP. 9 B. No more than annually, EPA shall submit to Defendants documentation of such Future Response Costs, including oversight 10 costs, incurred by EPA in the time period since the last demand 11 for payment. EPA's Cost Documentation Management System ("CDMS") 12 or equivalent cost summary, which shall include indirect costs, 13 shall serve as the documentation for payment demands. 14 EPA will also provide a summary of its calculations of any interest 15 16 charges. Defendants shall, within 30 days of receipt of each demand 17 for payment, remit a check for the amount of those costs made 18 payable to the Hazardous Substance Superfund. The checks should 19 reference the "Mill Area Operable Unit of the Coalinga Asbestos 20 Mine Site," and be addressed to: 21 22 U.S. Environmental Protection Agency Region 9 23 Superfund Accounting P.O. Box 360863M 24 Pittsburgh, PA 15251 Attention: Collection Officer for Superfund 25

A copy of the transmittal letter and a copy of the check shall be sent simultaneously to the United States and the EPA Project Coordinator as provided in Section XXIV (Form of Notice).

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Costs under this Consent Decree if they determine that the United States has made an accounting error, or that a cost item that is included represents costs that are inconsistent with the NCP, or contains costs that were not actually incurred in connection with the Site. Such objection shall be made in writing within thirty (30) days of receipt of EPA's demand for payment and EPA's CDMS or equivalent cost summary, and must be sent to the United States as specified in Section XXIV (Form of Notice). Any such objection shall specifically identify the contested Future Response Costs and the basis for objection.

D. In the event of an objection under Paragraph C of this Section, the Defendants shall, within thirty (30) days of receipt of EPA's demand, pay all uncontested Future Response Costs to the United States, in the manner described in Paragraph B, of this Section, above. Simultaneously, the Defendants shall establish an interest bearing escrow account in a bank duly chartered in the State of California and remit to that escrow account funds equivalent to the amount of the contested Future Response Costs. The Defendants shall send to the United States, as provided in Section XXIV (Form of Notice) a copy of the transmittal letter and check paying the uncontested Future Response Costs, a copy of the correspondence that establishes and funds the escrow account, including information containing the identity of the bank and bank account under which the escrow account is established and a bank statement showing the initial balance of the escrow account.

1 Simultaneously with establishment of the escrow account, the Defendants shall initiate the Dispute Resolution procedures 2 3 in Section XXIII (Dispute Resolution). Upon Defendants' request, 4 EPA shall then provide all cost documentation related to the dispute which it would be required to produce under the Freedom of 5 6 Information Act, 5 U.S.C. §552, as amended. If the United States prevails in the dispute, within 5 days of the resolution of the 7 8 dispute, the Defendants shall direct the escrow holder to remit the escrowed monies (with accrued interest) to the United States, 9 10 in the manner described in Paragraph B of this Section, above. 11 If the Defendants prevail concerning any aspect of the contested 12 costs, the Defendants shall direct the escrow holder to remit 13 payment for that portion of the costs (plus associated accrued interest) for which they did not prevail to the United States in 14 the manner described in Paragraph B of this Section, above, and 15 Defendants shall be disbursed the balance of the escrow account. 16 The dispute resolution procedures set forth in this Paragraph and 17 the procedures set forth in Section XXIII (Dispute Resolution) 18 shall be the exclusive mechanisms for resolving disputes regard-19 ing Defendants' obligation to reimburse the United States for its 20 21 Future Response Costs. F.. As an alternative to establishing an escrow account pur-22 suant to Paragraph D above, Defendants may instead obtain a let-23 ter of credit equal to the amount of Future Response Costs which 24

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are contested plus the amount of interest that would otherwise

accrue in the escrow account until the dispute resolution process

is completed. The Defendants shall send to the United States on

- 1 behalf of EPA, as provided in Section XXIV (Form of Notice) a
- 2 copy of the letter of credit. Payment of the contested Future
- 3 Response Costs covered by the letter of credit shall be in accor-
- 4 dance with Paragraphs D and E, above.

6

XX. REIMBURSEMENT OF PAST COSTS

7 A. Defendants agree to reimburse the Hazardous Substance

8 Superfund in the amount of \$995,765.74 for the Past Response

9 Costs incurred by EPA up to and including November 30, 1990.

10 Defendants shall reimburse EPA for such past costs according to

11 the following schedule: (i) at least \$200,000 shall be paid

12 within 90 days following entry of this Consent Decree; (ii) at

least an additional \$200,000 shall be paid within three months

14 after the first payment is due; (iii) at least an additional

\$300,000 shall be paid within six months after the first payment

is due; and (iv) at least an additional \$295.765.74 shall be paid

17 within nine months after the first payment is due; in addition,

Defendants shall pay interest on the amounts set out in sub-

19 paragraphs (ii) through (iv) above at the time such payments are

made, which interest shall begin to accrue at the time that the

21 payment in subparagraph (i) is due. Such interest shall accrue

22 at the rate established pursuant to Section 107(a) of CERCLA, 42

23 U.S.C. §9607(a). Payments shall be made in the manner and format

specified in Section XIX.B above. Payment of this amount com-

25 pletely resolves Defendants' liability to the United States for

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- 1 Past Response Costs up to and including November 30, 1990, in-
- 2 cluding all indirect costs and all interest that has accrued or
- 3 will accrue thereon.

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XXI. STIPULATED PENALTIES

- A. Defendants shall be liable to the United States for
- 7 stipulated penalties in the amounts set forth in Paragraphs B, C
- 8 and D below, for failure to comply with the requirements of this
- 9 Consent Decree as specified below, unless excused under Section
- 10 XXII (Force Majeure) or Section XXIII (Dispute Resolution).
- "Compliance" by Defendants shall include completion of the ac-
- 12 tivities under this Consent Decree or any Work Plan or other plan
- 13 approved under this Consent Decree in accordance with the re-
- 14 quirements of and time schedules established by this Consent
- 15 Decree, the SOW, and any plans or other documents approved by EPA
- 16 pursuant to this Consent Decree.
- B. The following stipulated penalties shall be payable upon
- written demand by EPA per violation per day to the United States
- 19 for all noncompliance violations not covered by Paragraph C
- 20 below.

21

22	Period of Noncompliance	Penalty Per Violation
23		Per Day
24	1st through 7th calendar day	\$2,500
25	8th through 14th calendar day	5,000

15th calendar day and beyond

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10,000

C. The following stipulated penalties shall be payable per violation per day to the United States for failure to submit timely or adequate reports or other documents required pursuant to this Consent Decree:

6	Period of Noncompliance	Penalty Per Violation		
7		Per Day		
8	1st through 7th calendar day	\$1,500		
9	8th through 14th calendar day	3,000		
10	15th calendar day and beyond	7,000		

- D. In the event that EPA assumes performance of a portion or all of the Work pursuant to Section VII (Work To Be Performed) or Section XVIII (Reservation of Rights), the Defendants will be liable for stipulated penalties in the amount of \$50,000, or the stipulated penalties due under this Section, whichever is greater.
- E. Except as stated otherwise in Paragraph F below, all penalties shall begin to accrue on the day after the complete performance is due or the day a violation occurs, and shall continue to accrue through the final day of the correction of the noncompliance or completion of the activity. Nothing herein shall prevent the simultaneous accrual of separate penalties for separate violations of this Consent Decree.
- F. Following EPA's determination that Defendants have
 failed to comply with a requirement of this Consent Decree, EPA
 may give Defendants written notification of the same and describe

- 1 the noncompliance. EPA may send the Defendants a written demand
- 2 for payment of the penalties. For untimely as opposed to inade-
- 3 quate submittals or performance, penalties shall accrue as
- 4 provided in the preceding Paragraph regardless of whether EPA has
- 5 notified the Defendants of a violation. For inadequate as op-
- 6 posed to untimely submittals or for inadequate, as opposed to un-
- 7 timely performance of the requirements of this Consent Decree,
- 8 EPA shall provide to Defendants, as soon as possible, oral
- 9 notification that Defendants' submittal or performance is inade-
- 10 quate, with written confirmation within seven (7) days that
- 11 Defendants' submittal or performance is inadequate. If EPA so
- 12 notifies Defendant within seven (7) days that Defendants' submit-
- 13 tal or performance is inadequate, penalties shall accrue commenc-
- 14 ing with Defendants' violation, as described above. In the event
- that EPA fails to so notify Defendants within seven (7) days of
- inadequate submittals or performance, stipulated penalties shall
- 17 accrue from the date on which Defendants receive such notice.
- 18 These notice provisions will not apply to any violation of this
- 19 Consent Decree which causes a substantial harm to human health or
- 20 the environment.
- 21 G. EPA may, in its discretion, waive stipulated penalties
- 22 for any noncompliance or determine that the amount of stipulated
- 23 penalties demanded is less than the maximum amount potentially
- 24 payable by Defendants. All penalties owed to the United States
- 25 under this section shall be due and payable within thirty (30)
- 26 days of the Defendants' receipt from EPA of a demand for payment
- 27 of stipulated penalties, unless Defendants invoke the Dispute

- 1 Resolution procedures under Section XXIII (Dispute Resolution).
- 2 All payments under this Section shall be paid by certified check
- 3 made payable to "EPA Hazardous Substances Superfund," and shall
- 4 reference the Site and be addressed as indicated in Section XIX
- 5 (Reimbursement of Response and Oversight Costs). Copies of
- 6 check(s) paid pursuant to this Section, and any accompanying
- 7 transmittal letter(s), shall be sent to the United States as
- 8 provided in Section XXIV (Form of Notice).
- 9 H. Neither the invocation of dispute resolution procedures
- 10 under Section XXIII (Dispute Resolution), nor the payment of
- 11 penalties shall alter in any way Defendants' obligation to com-
- 12 plete the performance of the Work required under this Consent
- 13 Decree. Further, the Defendants waive any right that they might
- 14 have to challenge the amount of penalties per day of violation,
- as stipulated in this section, although they may dispute under
- 16 the procedures of Section XXIII (Dispute Resolution) EPA's deter-
- 17 mination that a violation of this Decree has occurred.
- 18 I. Penalties shall continue to accrue as provided in
- 19 Paragraphs E and F of this Section during any dispute resolution
- 20 period, but need not be paid until the following:
- If the dispute is resolved by agreement or by a
- 22 decision of EPA that is not appealed to this Court, accrued
- 23 penalties shall be paid to EPA within fifteen (15) days of the
- 24 agreement or the receipt of EPA's decision or order;
- 2. If the dispute is appealed to this Court and the
- 26 United States prevails in whole or in part, Defendants shall pay
- 27 all accrued penalties owed to EPA within sixty (60) days of

- 1 receipt of the Court's decision or order, in the amount specified
- 2 in the Court's decision or order, except as provided in Sub-
- 3 paragraph 3 below;
- 4 3. If the District Court's decision is appealed by any
- 5 Party, Defendants shall pay all penalties specified in the
- 6 Court's decision or order into an interest bearing escrow account
- 7 within sixty (60) days of receipt of the Court's decision or or-
- 8 der. Penalties in the amount specified in the Court's decision
- 9 or order shall be paid into this account as they continue to ac-
- 10 crue, at least every sixty (60) days. Within fifteen (15) days
- of receipt of the final appellate court decision, Defendants
- 12 shall direct the escrow agent to pay the balance of the account
- 13 to EPA or to Defendants to the extent that each prevails, as
- 14 determined by the appellate court. In lieu of establishing an
- 15 escrow account, Defendants may instead obtain a letter of credit
- in the same amount(s), including the interest that would other-
- 17 wise accrue in the escrow account, which letter of credit shall
- 18 be paid in the same manner as the escrow account provided for in
- 19 this Paragraph.
- J. If Defendants fail to pay stipulated penalties when due.
- 21 the United States may institute proceedings to collect the
- 22 penalties, as well as late charges and interest. Defendants
- 23 shall pay interest on the unpaid balance, which shall begin to
- 24 accrue at the end of the thirty (30) day period set out in
- 25 paragraph G above at the rate established pursuant to Section
- 26 107(a) of CERCLA, 42 U.S.C. §9607(a). Such failure to pay stipu-

- 1 lated penalties when due shall also be a violation of the Decree
- 2 and shall result in the accrual of additional stipulated
- 3 penalties as provided for in this Section.
- 4 K. Nothing in this Section shall be construed as prohibit-
- 5 ing, altering, or in any way limiting the ability of the United
- 6 States to seek any other remedies or sanctions available by
- 7 virtue of a violation by Defendants of this Decree or of the
- 8 statutes and regulations upon which it is based, including but
- 9 not limited to, penalties pursuant to Section 122(1) of CERCLA,
- 10 42 U.S.C. §9621(1), provided, however, that if the United States
- 11 collects statutory penalties, the total of all penalties which
- 12 the United States can collect from Defendants shall not exceed
- 13 \$25,000 per day per violation.

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XXII. FORCE MAJEURE

- A. For purposes of this Consent Decree, force majeure is
- 17 defined as any event arising from causes beyond the control of
- 18 the Defendants, or their contractor, subcontractors, agents or
- 19 consultants which delays or prevents the performance of any
- 20 obligation under this Consent Decree notwithstanding Defendants'
- 21 best efforts to avoid the delay. The requirement that Defendants
- 22 exercise "best efforts to avoid the delay" means using best ef-
- 23 forts to anticipate any potential force majeure event and best
- 24 efforts to address the effects of any potential force majeure
- 25 event (1) as it is occurring and (2) following the potential
- 26 force majeure event, such that the delay is minimized to the
- 27 greatest extent practicable.

- B. The Defendants shall have the burden of proving that the
- 2 delay was caused by circumstances beyond the control of the
- 3 Defendants. When a force majeure event occurs that will delay or
- 4 may delay the completion of any portion of the Work, the Defen-
- 5 dants shall, no later than forty eight (48) hours after Defen-
- 6 dants become aware or should have become aware of the force
- 7 majeure event, notify EPA's Project Coordinator orally and shall,
- 8 within five (5) days of oral notification to EPA notify the EPA
- 9 Project Coordinator in writing of: the anticipated length and
- 10 cause of the delay; the reasons why the delay is beyond the con-
- 11 trol of Defendants; which of the tasks are directly affected by
- 12 the delay; the measures taken and/or to be taken to prevent or
- 13 minimize the delay; and the timetable by which the Defendants in-
- 14 tend to implement these measures and any aspects of the event
- 15 which may cause or contribute to an endangerment to public
- 16 health, welfare or the environment.
- 17 C. Economic hardship, normal inclement weather, increased
- 18 costs of performance and the failure of Defendants to make timely
- 19 application for any required permits or approvals and to provide
- 20 all information required therefore in a timely manner shall not
- 21 be considered events beyond the control of Defendants, their con-
- 22 tractors, subcontractors, agents or consultants and shall not
- 23 trigger the <u>force majeure</u> provision.
- D. EPA shall determine whether the event constitutes force
- 25 majeure. If EPA determines that the event did not constitute
- 26 force majeure then any delay caused by the event claimed to be
- 27 <u>force majeure</u> by the Defendants shall constitute noncompliance

- 1 with the Consent Decree and stipulated penalties shall accrue
- 2 from the time of noncompliance in accordance with Section XXI
- 3 (Stipulated Penalties) unless a contrary conclusion is reached as
- 4 a result of the dispute resolution procedures described below.
- 5 If EPA determines that the event does constitute force majeure,
- 6 it shall determine the appropriate modification to the schedules
- 7 for the Work to be performed.
- 8 E. No deadline shall be extended beyond that period of time
- 9 which is necessary to complete the activities with the least
- 10 amount of delay possible and in no case beyond the delay at-
- 11 tributable to the force majeure event. Use of the force majeure
- 12 provision shall not relieve Defendants of their duty to complete
- 13 all other tasks in a timely manner in accordance with the
- 14 schedule set forth in this Consent Decree, as modified by the
- 15 force majeure. The Defendants shall use best efforts as defined
- 16 above to avoid or minimize delay.
- F. Failure of the Defendants to comply with the require-
- 18 ments of this Section shall preclude Defendants from asserting
- 19 any claim of force majeure.
- 20 G. If EPA and the Defendants cannot agree as to whether the
- 21 reason for the delay was a force majeure event, the determination
- 22 of the EPA shall control. If the Defendants dispute this deter-
- 23 mination, the dispute shall be resolved by the procedures out-
- 24 lined in Section XXIII (Dispute Resolution) of this Consent
- 25 Decree. In any such proceeding, to qualify for a <u>force majeure</u>
- 26 defense, Defendants shall have the burden of demonstrating by a
- 27 preponderance of the evidence that the delay or anticipated delay

- 1 has been or will be caused by a force majeure event, that the
- 2 duration of the delay was or will be warranted under the cir-
- 3 cumstances, that Defendants used best efforts to avoid and
- 4 mitigate the effects of the delay, and that Defendants complied
- 5 with the requirements of this Section.

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XXIII. DISPUTE RESOLUTION

A. As required by Section 121(e)(2) of CERCLA, 42 U.S.C.

9 §9621(e)(2), the Parties to this Consent Decree shall attempt to

10 resolve expeditiously and informally any disputes arising with

11 respect to this Consent Decree. A dispute shall be considered to

12 have arisen when one party notifies the other parties in writing

13 that there is a dispute.

Any dispute which arises with respect to this Consent

Decree, shall in the first instance be the subject of informal

negotiations between EPA and Defendants. The period of informal

17 negotiations shall not exceed fourteen (14) days unless an exten-

18 sion is agreed to in writing by the parties. In the event that

19 the parties cannot resolve any dispute with respect to this Con-

20 sent Decree by the end of that fourteen (14) day period, then the

21 position of EPA shall be considered binding unless Defendants in-

voke the dispute resolution provisions of Paragraph B below.

23 Defendants' decision to invoke dispute resolution shall not con-

24 stitute a <u>force majeure</u> under Section XXII (<u>Force Majeure</u>),

25 herein. The amount of stipulated penalties as stated in Section

26 XXI (Stipulated Penalties) (as opposed to EPA's determination that

27 Defendants have violated the Decree) is not subject to dispute

1 resolution. Use of the dispute resolution provision will not

2 relieve Defendants' duty to complete other tasks in a timely man-

ner in accordance with the schedule set forth in this Consent

4 Decree.

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pute.

B. Defendants shall notify EPA in writing of their objections to EPA's position within fourteen (14) calendar days of the end of the period of informal negotiations described in Paragraph B above. EPA and Defendants will then have an additional twenty (20) calendar days from receipt by EPA of the notification of objection to reach agreement. At the end of the twenty (20) day discussion period, EPA shall provide Defendants with a written statement of its position and the supporting documentation relied upon by EPA. Within seven (7) days thereafter, Defendants may file a reply. Within ten (10) days after that seven (7) day period, the Director of the Hazardous Waste Management Division

shall issue a final administrative decision regarding the dis-

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C. Petition Filed In Court:

20 In the event that the dispute cannot be resolved by the procedures outlined in Paragraphs A and B above, then the 21 final administrative decision by EPA, referred to in Paragraph B 22 above, shall be considered binding unless, within 10 days after 23 Defendants' receipt of that EPA decision, the Defendants file 24 with the Court a petition which shall describe the nature of the 25 dispute and include a proposal for its resolution. Defendants 26 shall not file such a petition until issuance of the final ad-27

- 1 ministrative decision referred to in Paragraph B above. The
- 2 filing of a petition asking the Court to resolve a dispute shall
- 3 not of itself extend or postpone the Defendants' obligations un-
- 4 der this Decree with respect to the disputed issue, or stay the
- 5 provisions of Section XXI (Stipulated Penalties), except that the
- 6 payment terms of Section XXI, Paragraph I, shall apply during the
- 7 period that the dispute is under consideration by the Court.
- Unless the Court establishes a different period for
- 9 response, Plaintiff shall have thirty (30) days to respond to the
- 10 petition. Defendants shall then have ten (10) days to file a
- 11 reply. For any matter covered by Section 113(j)(2) of CERCLA, 42
- 12 U.S.C. §113(j)(2), in proceedings on any dispute covered by this
- 13 Section the Court shall uphold EPA's decision unless the Defen-
- 14 dants can demonstrate, on the administrative record, that EPA's
- 15 decision was arbitrary and capricious or otherwise not in accor-
- 16 dance with law. For any other matters, the Court shall apply ap-
- 17 plicable standards of law regarding the standard of review and
- 18 the scope of material to be considered. In any proceedings on a
- 19 dispute, Defendants shall bear the burden of coming forward with
- 20 evidence and of persuasion on factual issues.
- 3. If the Court finds for EPA, the Defendants shall
- 22 transmit payment of all penalties which have accrued during the
- 23 dispute, plus interest at the rate specified in Section XXI
- 24 (Stipulated Penalties) to the Hazardous Substance Superfund,
- 25 within fifteen (15) working days of resolution of the dispute.
- 26 The Defendants shall then implement the disputed matter as

1	resolved and perform the work which was the subject of the dis-
2	pute, if required. The appropriate plans should be amended to
<u></u> 3	reflect the resolution of the dispute.
4	4. If the Court finds for Defendants: (1) the dead-
5	lines for any affected deliverables shall be extended to account
6	for any delays attributable to the dispute resolution procedures;
7	and (2) any penalties which would otherwise accrue for violation
8	of any affected deliverable shall be waived.
9	
10	XXIV. FORM OF MOTICE
11	A. When written notification to or written communica-
12	tion with the United States, EPA or the Defendants is required by
13	the terms of this Consent Decree, it shall be sent, postage
14	prepaid, and addressed as follows:
15	
16	As to Plaintiffs:
17	Chief Environmental Enforcement Section
18	Environment and Natural Resources Division Department of Justice
19	10th and Pennsylvania Avenue, N.W. Washington, DC 20530
20	EPA Project Coordinator
21	Superfund Enforcement Section U.S. Environmental Protection Agency
22	75 Hawthorne Street - SAn Francisco, CA 94105
23	Assistant Regional Counsel for the
24	Coalinga Asbestos Mill Site Office of Regional Counsel
25	U.S. Environmental Protection Agency 75 Hawthorne Street
26	San Francisco, CA 94105
27	Frank Lopez California Department of Health Services
28	10151 Croydon Way Sacramento, California 96827
1	

1 Chris Chalfant California Regional Water Quality Control Board 2 Central Valley Region 3 3614 East Ashlan Avenue Fresno, California 4 As to the Defendants: 5 Jerome F. Donohoe, Esq. 6 Vice President - Law Santa Fe Pacific Corporation 7 1700 East Golf Road Schaumburg, Illinois 60173-5860 8 Edward L. Strohbehn Jr., Esq. 9 McCutchen, Doyle, Brown & Enersen Three Embarcadero Center 10 San Francisco, California 94111 11 These names and addresses may be changed by the United States, 12 EPA and/or Defendants by notifying the other parties in writing. 13 14 XXV. MODIFICATION 15 No modification shall be made to this Consent Decree without 16 written notification to and written approval of the Parties and the Court; provided, however, that modifications of the Scope of 17 Work (Appendix B) may be made upon the written consent of the 18 Parties and shall be filed with this Court; and provided further, 19 that the Parties may agree to alter any deadline specified in or 20 pursuant to this Consent Decree without notice to or approval by 21 22 The notification required by this Paragraph shall set forth the nature of and reasons for the requested modification. 23 No oral modification of this Consent Decree shall be effective.

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Nothing in this Paragraph shall be deemed to alter the Court's

power to supervise or modify this Consent Decree.

XXVI. ADMISSIBILITY OF DATA

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2	For the purpose of this Consent Decree only, the Parties
3	waive any evidentiary objection as to the authenticity of data
4	gathered, generated, or evaluated by any Party in the performance
5	or oversight of the Work under this Decree that has been verified
6	using the Quality Assurance and Quality Control procedures
7	specified in Section XI (Quality Assurance and Quality Control).
8	The parties shall be able to impeach or otherwise contest the
9	credibility, validity, or meaning of such evidence.
10	For the purpose of this action only, the Parties also waive
11	any objections to the introduction of such data based on hearsay.
12	
13	XXVII. CONTRIBUTION PROTECTION
14	With regard to claims for contribution against Defendants
15	for matters addressed in this Consent Decree, the Parties hereto
16	agree that the Defendants are, as of the effective date of this
17	Consent Decree, entitled to and shall receive such protection
18	from contribution actions or claims as is provided in Section
19	113(f)(2) of CERCLA, 42 U.S.C. §9613(f)(2).
20	The Defendants agree that with respect to any suit or claim
21	for contribution brought by them for matters related to this Con-
22	sent Decree they will notify the United States in writing no
23	later than the date that such suit or claim is served. The
24	Defendants also agree that with respect to any suit or claim for
25	contribution brought against them for matters related to this

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- 1 Consent Decree they will notify the United States in writing
- 2 within 10 days of the date on which the complaint in such an ac-
- 3 tion is served on them.

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XXVIII. COVENANT NOT TO SUE

6 A. Subject to the provisions of Section XVIII (Reservation of Rights) and of this Section, the United States covenants not 7 to sue, not to take any administrative action, and not to execute 8 judgment against the Defendants for any and all civil liability 9 to the United States for causes of action arising under Sections 10 104, 106 and 107(a) of CERCLA, 42 U.S.C. §§9604, 9606, 9607(a), 11 and Section 7003 of the Resource Conservation and Recovery Act, 12 42 U.S.C. §6973, relating to the Site, or for matters covered by 13 this Consent Decree. Subject to the provisions of Section XXIII 14 (Dispute Resolution), this Covenant Not To Sue shall take effect 15 so long as Defendants continue to perform, completely and satis-16 17 factorily, their obligations under this Consent Decree. respect to Future Liability, this Covenant Not To Sue shall take 18 effect only when all of the following have occurred: (1) EPA 19 issues a Certificate of Completion of the Remedial Action pur-20 suant to Section XXXIX (Certificate of Completion), and (2) the 21 receipt by EPA of the payments required by Sections XIX 22 (Reimbursement of Future Response and Oversight Costs) and XX 23 (Reimbursement of Past Costs). This Covenant Not To Sue is con-24 ditioned upon complete and satisfactory performance by Defendants 25

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- of their obligations under this Consent Decree. This Covenant
- 2 Not To Sue extends only to Defendants and their successors and
- 3 assigns and does not extend to any other person.
- B. Defendants covenant not to sue the United States, in-
- 5 cluding any and all departments, agencies, officers, ad-
- 6 ministrators, and representatives thereof, for any claim, coun-
- 7 terclaim, or cross-claim asserted, or that could have been as-
- 8 serted, prior to the effective date of this Consent Decree, aris-
- 9 ing out of or relating to the Site, including any direct or in-
- 10 direct claim for reimbursement from the Hazardous Substance Su-
- 11 perfund (established pursuant to the Internal Revenue Code, 26
- 12 U.S.C. § 9507) through CERCLA Sections 106(b)(2), 111 or 112, 42
- 13 U.S.C. §9606(b)(2), 9611 or 9612, or otherwise, except as such
- 14 claim, counterclaim or cross-claim arises from or relates to one
- or more claims expressly reserved by EPA under Paragraphs C, D,
- 16 and E below and only if EPA asserts that specific claim or
- 17 claims. Nothing in this Consent Decree shall be deemed to con-
- 18 stitute preauthorization of a claim within the meaning of Section
- 19 111 of CERCLA, 42 U.S.C. § 9611, cr 40 C.F.R. § 300.5.
- 20 C. Notwithstanding any other provision of this Consent
- 21 Decree, the United States reserves the right to institute
- 22 proceedings in this action or in a new action or to issue an Or-
- 23 der seeking to compel the Defendants to perform any additional
- 24 response work at the Site, or to reimburse the United States for
- 25 Response Costs if:

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1 (1) Prior to certification of completion of the Remedial Ac-

2 tion by EPA pursuant to Section XXXIX (Certification of

3 Completion),

a. conditions at the Site, previously unknown

5 to the United States, are discovered after the entry

of this Consent Decree, or

b. information is received, in whole or in part,

8 after the entry of this Consent Decree,

9 and these previously unknown conditions or this information indi-

10 cates that the remedy selected in the ROD will not adequately

11 protect human health or the environment; or

12 (2) Subsequent to certification of completion of the

Remedial Action by EPA pursuant to Section XXXIX (Certification

14 of Completion),

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a. conditions at the Site, previously unknown to
the United States, are discovered after the issuance of
certification of completion by EPA, or

b. information received, in whole or in part,

after the issuance of certification of completion by EPA,

20 and these previously unknown conditions or this information indi-

21 cates that the remedy selected in the ROD as implemented is not

22 protective of human health or the environment.

D. Notwithstanding any other provision in this Consent

24 Decree, this Covenant Not To Sue shall not relieve the Defendants

25 of their obligations to meet and maintain compliance with the re-

26 quirements set forth in this Consent Decree, specifically includ-

27 ing the conditions set forth in the ROD, which is incorporated

- 1 herein. The United States reserves all its rights to take
- 2 response actions at the Site, including the right to take
- 3 response action in the event of a breach of the terms of this
- 4 Consent Decree by the Defendants and to seek recovery of costs
- 5 which: 1) result from such a breach; 2) relate to any portion
- of the Work funded or performed by the United States as a result
- 7 of such breach; or (3) are enforcement costs associated with the
- 8 Site which the United States incurs as a result of such breach.
- 9 E. Defendants are expressly not released from, and the
- 10 provisions of Paragraph A of this Section shall not apply to, any
- 11 matter not covered by this Consent Decree, including the follow-
- 12 ing claims:
- 1. Claims based on a failure by Defendants to meet the
- 14 obligations of this Decree;
- 2. Claims based on the Defendants' liability arising
- 16 from the past, present, or future disposal of waste materials
- outside of the Site and not attributable to the Site;
- 3. Any claim or demand for damage to federal property
- 19 located any place that Work is being performed;
- Claims based on criminal liability;
- 5. Claims based on liability for damage to natural
- 22 resources as defined in CERCLA;
- 6. Claims based on liability for hazardous substances
- 24 removed from the Site; or
- 7. Liability for any violations of Federal or State
- 26 law which occur during or after implementation of the Work.

F. Nothing in this Consent Decree shall constitute or be construed as a release or covenant not to sue regarding any claim or cause of action against any person as defined in Section 101(21) of CERCLA, 42 U.S.C. §9601(21), or other entity not a signatory to this Consent Decree, or any successor or assign of such entity, for any liability it may have arising out of or relating to the Site.

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XXIX. WAIVER OF DEFENSE

EPA hereby informs Defendants that it may bring claims with 10 respect to areas of the Johns-Manville Coalinga Asbestos Mill NPL 11 Site (Coalinga Mine Site) other than the JM Mill Area OU as 12 defined in the ROD. Should Plaintiff bring any claim with 13 respect to any areas of the Johns-Manville Coalinga Asbestos Mill 14 NPL Site (Coalinga Mine Site) other than the JM Mill Area OU as 15 defined in the ROD, Defendants hereby waive the defenses of res 16 judicata, collateral estoppel, and claim-splitting with respect 17 18 to such claims.

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XXX. COMMUNITY RELATIONS

As requested by EPA, Defendants shall cooperate with EPA in providing information to the public and shall participate in the preparation of appropriate information disseminated to the public and in public meetings which may be held or sponsored by EPA to explain activities at or concerning the Site.

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XXXI. LODGING AND PUBLIC PARTICIPATION

2	A.	Pursuant	to	Section	122(d)	of	CERCLA,	42	U.S.C.	S
										_

- 3 9622(d), this Consent Decree will be lodged with the Court for
- 4 thirty (30) days, and the United States shall publish a notice of
- 5 availability of review to allow public comment prior to entry by
- 6 the Court. The United States will file with the Court and
- 7 provide to Defendants a copy of any comments received and the
- 8 responses of the United States to such comments.
- 9 B. Plaintiff will provide persons who are not parties to
- 10 the proposed settlement with the opportunity to file written com-
- 11 ments during at least a thirty (30) day period following such
- 12 notice. Plaintiff will file with the Court a copy of any com-
- ments received and its responses to such comments.
- 14 C. After the closing of the public comment period, Plain-
- 15 tiff will review all comments and determine whether the comments
- 16 disclose facts or considerations which indicate that the proposed
- 17 judgment is inappropriate, improper or inadequate, and therefore
- 18 that the Consent Decree should be modified. If a modification is
- 19 deemed necessary by Plaintiff based on public comment, Plaintiff
- 20 will notify Defendants. If no modification is deemed necessary,
- 21 Defendants consent to the entry of this Consent Decree without
- 22 further notice.

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XXXII. CONSISTENCY WITH THE NCP

- The United States and the Defendants agree that the Work, if
- 26 performed in full accordance with the requirements of this Con-
- 27 sent Decree, is consistent with the provisions of the National

- 1 Oil and Hazardous Substances Pollution Contingency Plan 40 C.F.R.
- 2 Part 300, which has been revised and repromulgated pursuant to
- 3 Section 105 of CERCLA, 42 U.S.C. § 9605.

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XXXIII. <u>INDEMNIFICATION AND INSURANCE</u>

Notwithstanding any approvals which may be granted by 7 the United States or other government entities, Defendants shall 8 indemnify the United States and save and hold the United States 9 10 Government, its officials, agents, contractors, representatives, agencies or departments harmless for any and all claims or causes 11 12 of action arising from any acts or omissions of the Defendants, their officers, employees, agents, receivers, trustees, succes-13 sors, assigns, contractors, subcontractors, or any other person 14 acting on their behalf or under their control in carrying out ac-15 16 tivities pursuant to this Consent Decree. This indemnification does not extend to any loss, injuries or damages suffered or in-17 curred by any person to the extent that such loss, injuries or 18 damages are proximately caused by the actions or conduct of the 19 United States, its agencies, departments, employees, agents, con-20 tractors or subcontractors. The United States is not, and shall 21 not be held out as, a party to any contract entered into by or on 22 behalf of Defendants in carrying out activities pursuant to this 23 Consent Decree. Neither Defendants nor any such contractor shall 24 25 be considered an agent of the United States.

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- B. Defendants shall indemnify and hold harmless the United
- 2 States with respect to any claims for damages or reimbursement
- 3 asserted against the United States, or for any set-off of any
- 4 payments made or to be made to the United States, arising from or
- 5 on account of any contract, agreement or arrangement between
- 6 Defendants and any person for performance of Work pursuant to
- 7 this Consent Decree, including claims on account of construction
- 8 delays.
- 9 C. Before starting any of the onsite Work required by this
- 10 Consent Decree, Defendants shall secure and maintain, or require
- 11 their contractor(s) or subcontractor(s) to secure and maintain,
- 12 until EPA's issuance of the Certificate of Completion pursuant to
- 13 Section XXXIX (Certificate of Completion) comprehensive general
- 14 liability and automobile insurance with limits of two million
- dollars (\$2,000,000) per occurrence, combined single limit,
- 16 naming as additional insured the United States. In addition, for
- 17 the duration of this Consent Decree, Defendants shall satisfy, or
- 18 shall ensure that their contractors or subcontractors satisfy,
- 19 all applicable laws and regulations regarding the provision of
- 20 workmen's compensation insurance for all persons performing work
- 21 on behalf of Defendants in furtherance of this Consent Decree.
- 22 Prior to commencement of work under this Consent Decree, and an-
- 23 nually thereafter, until EPA's issuance of the Certificate of
- 24 Completion, Defendants shall provide to EPA certificates of such
- 25 insurance and a copy of each insurance policy. If Defendants
- 26 demonstrate by evidence satisfactory to EPA that any contractor
- 27 or subcontractor maintains insurance equivalent to that described

- 1 above, or insurance covering the same risks but in a lesser
- 2 amount, then with respect to that contractor or subcontractor
- 3 Defendants need provide only that portion of the insurance
- 4 described above which is not maintained by the contractor or sub-
- 5 contractor.

7 XXXIV. <u>OTHER CLAIMS</u>

Nothing in this Consent Decree shall be deemed to constitute

- 9 a preauthorization of a CERCLA claim within the meaning of Sec-
- 10 tions 111 or 112 of CERCLA, 42 U.S.C. §§ 9611, 9612, or 40 C.F.R.
- 11 § 300.5. In consideration of the entry of this Consent Decree,
- 12 Defendants agree not to make any claims pursuant to Section 112
- or Section 106(b)(2), 42 U.S.C. §§ 9612, 9606(b)(2), or any other
- 14 provision of law directly or indirectly against the Hazardous
- 15 Substance Superfund, or make other claims against the United
- 16 States for those costs expended by Defendants in connection with
- 17 this Consent Decree, provided, however, that nothing in this Sec-
- 18 tion shall affect Defendants' rights to dispute matters under
- 19 this Consent Decree pursuant to Section XXIII (Dispute
- 20 Resolution).

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XXXV. CONTINUING JURISDICTION

23 The Court specifically retains jurisdiction over both the 24 subject matter of and the Parties to this action for the duration 25 of this Consent Decree for the purposes of issuing such further 26 orders or directions as may be necessary or appropriate to con-

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1	strue, implement, modify, enforce or terminate the terms of this					
2	Consent Decree or for any further relief as the interest of jus-					
3	tice may require.					
4						
5	XXXVI. REPRESENTATIVE AUTHORITY					
6	A. Each undersigned representative of the Defendants to					
7	this Consent Decree certifies that he or she is fully authorized					
8	by the Party to enter into and execute the terms and conditions					
9	of this Consent Decree, and to legally bind such Party to this					
10	Consent Decree.					
11	B. Defendants shall identify, on the attached signature					
12	page, the name and address of am agent who is authorized to ac-					
13	cept service of process by mail on behalf of that Defendant with					
14	respect to all matters arising under or relating to this Consent					
15	Decree. Defendants hereby agree to accept service in that manner					
16	and to waive the formal service requirements set forth in Rule 4					
17	of the Federal Rules of Civil Procedure, including service of a					
18	summons, and any applicable local rules of this Court.					
19						
20	XXXVII. <u>EFFECTIVE DATE</u>					
21	This Consent Decree is effective upon the date of its entry					
22	by the Court.					
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XXXVIII. <u>SEVERABILITY</u>

If any provision or authority of this Consent Decree or the application of this Consent Decree to any circumstance is held by the Court to be invalid, the application of such provision to other circumstances and the remainder of the Consent Decree shall remain in force and shall not be affected thereby.

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XXXIX. <u>CERTIFICATION OF COMPLETION</u>

Within ninety (90) days after Defendants conclude that the Remedial Action has been fully performed, Defendants shall so notify EPA and shall schedule and conduct a final inspection to be attended by Defendants and EPA. Such inspection shall be followed by a written report submitted to EPA as specified in the approved RD Work Plan, which includes a certification that the Remedial Action has been completed in full satisfaction of the requirements of this Consent Decree. If EPA determines that the Remedial Action or any portion thereof has not been completed in accordance with this Consent Decree, EPA will notify Defendants in writing of the activities that must be performed to complete the Remedial Action and may set forth in the notice a schedule for performance of such activities. Subject to the requirements and procedures of Section VIII (Additional Work), Section XXV (Modification), and Section XXIII (Dispute Resolution), and if Defendants are required to perform such work as EPA requests,

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- 1 Defendants shall perform all activities described in the notice
- 2 in accordance with the specifications and schedules established
- 3 therein, or as modified in accordance with this Decree.
- B. If EPA concludes, following the initial or any subse-
- 5 quent notification of completion by Defendants that the Remedial
- 6 Action has been fully performed in accordance with this Consent
- 7 Decree, EPA shall so certify in writing to Defendants. This cer-
- 8 tification shall constitute the "certification of completion of
- 9 remedial action" pursuant to Section 122(f)(3) of CERCLA, 42
- 10 U.S.C. §9622(f)(3), and for this Consent Decree.
- 11 C. The issuance of such certification of completion shall
- 12 not alter other terms or Defendants' obligations as set forth in
- 13 the provisions of Section XVII (Retention of Records), Section
- 14 XVIII (Reservation of Rights), Section XXVIII (Covenant Not to
- 15 Sue), Section X (Periodic Review to Assure Protection of Human
- 16 Health and the Environment), Section XIX (Reimbursement of
- 17 Response and Oversight Costs), Section XXVII (Contribution
- 18 Protection) and such other continuing rights and obligations of
- 19 Defendants under this Consent Decree.

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XL. <u>TERMINATION AND SATISFACTION</u>

- This Consent Decree shall terminate upon certification by
- 23 EPA of completion of the Work To Be Performed and that Defendants
- 24 have satisfied their obligations under Section XIX (Reimburse-
- 25 ment of Future Response and Oversight Costs), Section XX
- 26 (Reimbursement of Past Costs), Section XXI (Stipulated
- Penalties), Section X (Periodic Review to Assure Protection of

Human Health and the Environment) and Section VIII (Additional 1 Termination of Defendants' obligations under the above 2 3 stated provisions of this Consent Decree shall not alter the provisions of Section XVII (Retention of Records), Section XVIII 4 5 (Reservation of Rights), Section XXVII (Contribution Protection), Section XXVIII (Covenant Not to Sue), and such other continuing 6 rights and obligations of Defendants under this Consent Decree. 7 8 9 XLI. SECTION HEADINGS 10 The section headings set forth in this Consent Decree and its Table of Contents are included for convenience of reference 11 only and shall be disregarded in the construction and interpreta-12 13 tion of any of the provisions of this Consent Decree. 14 15 XLII. COUNTERPARTS This Consent Decree may be executed and delivered in any 16 number of counterparts, each of which when executed and delivered 17 shall be deemed to be an original, but such counterparts shall 18 19 together constitute one and the same document. 20 21 22 SIGNED and ENTERED this _____ day of ______, 1991. 23 24 25 26 UNITED STATES DISTRICT JUDGE 27 By the signatures below, the Parties hereby consent to the foregoing Consent Decree. 28

1	PLAINTIFF, AND PINE CANYON LAND COMPANY, SANTA FE PACIFIC COR
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) 3 4	
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6	DATE: February 26, 1992
7	JEROME F. DONOHOE Counsel
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10	
11	FOR DEFENDANT, SANTA FE PACIFIC CORPORATION
12	
13	DAMES Fobrusery 26, 2002
14	JEROME F. DONOHOE Vice President - Law DATE: February 26, 1992
15	Vice Flesident - Law
/ 16	
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SIGNATURE PAGE FOR CONSENT DECREE BETWEEN UNITED STATES, AS PLAINTIFF, AND PINE CANYON LAND COMPANY, SANTA FE PACIFIC COR-PORATION, AND CATELLUS DEVELOPMENT CORPORATION, AS DEFENDANTS: FOR DEFENDANT CATELLUS DEVELOPMENT CORPORATION DATE: February 26, 1992 Vice President Law and General Counsel

1	SIGNATURE PAGE FOR CONSENT DECREE BETW PLAINTIFF, AND PINE CANYON LAND COMPAN	Y, SANTA FE PACIFIC COR-
2	PORATION, AND CATELLUS DEVELOPMENT COR	PORATION, AS DEFENDANTS:
3	FOR PLAINTIFF, UNITED STATES:	
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5		
6	Barrelly Josh	DATE: MAY 20 1900
7	BARRY HARTMAN Acting Assistant Attorney General	
8	Environment and Natural Resources U.S. Department of Justice	
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10		
11	Sa Newsell	DATE:
	WILLIAM A. WEINISCHKE Attorney, Environmental Enforcement Se	ction
12	U.S. Department of Justice	
13		
14		
15	GEORGE L. O'CONNELL United States Attorney	
16	onited States Actorney	
17		
18	BY:	DATE:
19	Assistant United States Attorney	
20		
21		
22		
23	John Wise	DATE: 3.3.92
24	DANIEL W. MCGOVERN Acting for Regional Administrator	DATE.
25	U.S. Environmental Protection Agency Region IX	
	Megion IA	
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